

1 **Front page**

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3 **Title:** Embryogenesis in Oak species.

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5 Article dedicated to Dr. María Angeles Bueno.

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1 ***Abstract, keywords, abbreviations***

2 **Abstract**

3 *Aim of study:* A review on the propagation methods of four *Quercus* species, namely *Q. suber*,
4 *Q. robur*, *Q. ilex* and *Q. canariensis*, through somatic embryogenesis and anther
5 embryogenesis are presented.

6 *Area of study:* The study comprises both Mediterranean and Atlantic oak species located in
7 Spain.

8 *Material and Methods:* Somatic embryogenesis was induced on immature zygotic embryos of
9 diverse oak species, permitting the multiplication of half-sib families. Induction of haploid
10 embryos and doubled haploids was assayed in both *Q. suber* and *Q. ilex* by temperature stress
11 treatments of anthers containing late vacuolated microspores. The haploid origin of the anther
12 embryos has been evaluated by quantitative nuclear DNA analysis through flow cytometry
13 and by DNA microsatellite markers. Genetic transformation of cork oak has also been
14 performed by means of *Agrobacterium tumefaciens* vectors. Proteomic analysis has been
15 conducted to screen the diverse protein profiles followed by *in vitro* derived embryos during
16 their development.

17 *Research highlights:* Successful plant regeneration from both somatic and haploid embryos
18 has been achieved. In the particular case of cork oak, doubled-haploid plants were obtained.
19 Plantlets regenerated from selected parent trees through somatic embryogenesis were
20 acclimated in the greenhouse and in the nursery, and were planted in an experimental plot in
21 the field. Preliminary evaluation of the cork quality of the plants showed a good heritability
22 correlation with the parent trees. This article revises the work of and is dedicated to Dr. M.A.
23 Bueno, who devoted much of her professional life to the research on Biotechnology and
24 Genetics of forest species, leading the Laboratory of Forest Biotechnology at the Spanish
25 Institute of Agronomic Research (INIA).

26 **Key words:** anther embryogenesis, microspore, pollen, *Quercus canariensis*, *Quercus ilex*,
27 *Quercus robur*, *Quercus suber*, somatic embryogenesis.

28 **Resumen**

1 *Objeto de estudio:* Este Trabajo constituye una revisión sobre los métodos de propagación
2 mediante embriogénesis somática y gamética de cuatro quercíneas: *Q. suber*, *Q. robur*, *Q. ilex*
3 y *Q. canariensis*.

4 *Area de estudio:* este trabajo comprende especies del género *Quercus*, de los ámbitos
5 mediterráneo y atlántico españoles.

6 *Material y Métodos:* La embriogénesis somática se induce a partir de embriones cigóticos
7 inmaduros de diferentes especies obteniéndose la multiplicación clonal de semifratrias. La
8 inducción de haploides y doble-haploides se ha ensayado tanto en *Q. suber* como en *Q. ilex*
9 mediante tratamientos de estrés térmico sobre las anteras que contienen microsporas
10 vacuoladas. El origen haploide de estos embriones obtenidos a partir del cultivo de anteras ha
11 sido evaluado mediante citometría de flujo y marcadores de ADN microsatélites. Además, se
12 ha llevado a cabo la transformación genética del alcornoque mediante *Agrobacterium*
13 *tumefaciens*. Se han realizado estudios de proteómica para identificar los diferentes patrones
14 proteicos que presentan los embriones obtenidos *in vitro* durante su desarrollo.

15 *Resultados destacados:* Se ha logrado la regeneración de plantas a partir de embriones, tanto
16 de origen somático como gamético. En particular, en el caso del alcornoque, se han obtenido
17 plantas doble-haploides. Las plantas regeneradas mediante embriogénesis somática a partir de
18 individuos selectos se han aclimatado en invernadero y vivero y han sido trasplantadas en una
19 parcela experimental. La evaluación preliminar de la calidad del corcho en dichas plantas
20 muestra una heredabilidad correlacionada con los parentales. Este artículo es un compendio
21 de la labor y está dedicado a la Dra. M.A. Bueno, que ha dedicado gran parte de su vida
22 profesional a la investigación en Biotecnología y Genética Forestal, liderando el Laboratorio
23 de Biotecnología Forestal en el Instituto Nacional de Investigación y Tecnología Agraria y
24 Alimentaria (INIA).

Text of the article

Somatic embryogenesis in cork oak

Cork oak (*Quercus suber* L.) is a forest species playing a major role in many Mediterranean ecosystems. Besides, this tree produces cork of economic value for the champagne and wine stopper industry and many other manufactures, contributing to the rural development in its natural area. However, cork oak is a long life cycle tree with irregular fructification seasonality and difficulty of seed conservation. Furthermore, vegetative propagation of mature individuals is not viable by classic methods. Thus, all these difficulties have impeded the development of genetic improvement programs for this species. Among the alternatives to overcome the vegetative propagation problem, somatic embryogenesis has been the most successful. The traditional breeding methods of self-pollination and back-crossing can be advantageously substituted by pollen or haploid embryogenesis, also permitting the obtainment of heterosis by hybridization.

First attempts to regenerate cork oak (*Quercus suber*) through somatic embryogenesis were conducted at the INIA Tissue Culture Laboratory by exploring the regeneration capacity of zygotic embryos, endosperm and ovules (Bueno and Manzanera, 1992). Samples were collected every two weeks along the acorn development period, from June to September. The culture medium was composed of Sommer et al (1975) macronutrients and Murashige and Skoog (MS) micronutrients (1962), plus 88mM sucrose and 3.4 mM glutamine (Gln). By far, zygotic embryos were the most responsive explant type, giving rise to somatic embryos. Although a small amount of embryos were obtained from ovules, it could not be elucidated if those embryos from ovular origin were somatic or zygotic embryos protruding from inside the ovule (Bueno and Manzanera 1992).

Immature zygotic embryos were subjected to different treatments with 2,4-dichlorophenoxyacetic acid (2,4-D) for 30 days. Callus was formed mainly from the hypocotyl, although cotyledons also produced callus. Then the explants were transferred to growth regulator-free medium and globular structures were visible after two or three weeks (Bueno et al 1992). Somatic embryos were formed either directly (Figure 1) on the zygotic embryos or indirectly in the callus (Bueno et al 2000b). The effect of culture on agar (8 g/l) solid medium was compared to liquid medium agitated on an orbital shaker at 100 rpm.

1 Although a slightly higher rate of somatic embryogenesis was observed in liquid medium
2 with 2.3 μ M 2,4-D than in agar medium with higher 2,4-D concentrations, no statistically
3 significant differences were recorded. Somatic embryo maturation was stimulated with a cold
4 storage (5 °C) treatment prior to germination. Other factors, such as spermine, sorbitol or air-
5 drying desiccation were not effective (Bueno et al 1992). The beneficial effect of cold storage
6 on later germination of the somatic embryos was corroborated by testing two and ten week-
7 long cold treatments at 2 and 4 °C. Significant differences were obtained for ten weeks at 4 °C
8 and two weeks at 2 °C as compared to the control, but not for two or four weeks at 4 °C
9 (Manzanera et al 1993). The problem of epicotyl dormancy was overcome by placing the
10 somatic embryos on paper bridges, in test tubes containing 10 ml medium supplemented with
11 0.4 μ M benzyl adenine (BA). Plantlets with normally developed shoots were transferred to
12 soil and acclimated in the greenhouse (Bueno et al 2000b). Microscopic studies revealed a
13 well-developed histological structure of the cork oak somatic embryos, with a prominent
14 apical meristem between cotyledons, a root meristem and the calyptra. Vascular bundles were
15 already visible in both the embryo axis and the cotyledons (Bueno et al 2000b).

16 Cork oak somatic embryos have proven to be a good starting material for genetic
17 transformation experiments. This species is threatened by several pests including members of
18 *Lepidoptera* larvae and *Coleoptera*, which dig galleries in the bark, damaging the cork. These
19 threats may be obviated by genetic manipulation to pest-resistance, employing any of several
20 methods and vectors. Initial experiments were conducted with pro-embryo masses induced on
21 immature zygotic embryos with 2.3 μ M 2,4-D. As selection agents, two antibiotics were
22 compared, kanamycin and hygromycin (Sanchez et al 2005). While the first was not effective
23 at concentrations as high as 850 μ M, the latter was successful as selection agent. Cork oak
24 pro-embryo masses were inoculated with the *Agrobacterium tumefaciens* LBA4404/p35S
25 GUS INT/pCAMBIA 1301 strain, which contains genes *hptII*, conferring hygromycin
26 resistance, and *gusA* gene coding for β -glucuronidase (GUS). Transformants were selected
27 on hygromycin 94 μ M-supplemented medium. Fifty two viable embryos survived out of 400
28 selected on hygromycin during four months (13%). The transformation of 5.8% embryos
29 selected on hygromycin was confirmed by expression of β -glucuronidase four months after
30 co-cultivation (Figure 2) and by the presence of nopaline synthase terminator, amplified by
31 polymerase chain reaction. This method is one of the few reports on the genetic
32 transformation of cork oak somatic embryos (Sanchez et al 2005).

1 The final step of plant regeneration from somatic embryos is the production of synthetic
2 seeds. In cork oak, somatic embryos were coated with alginate and their storability for
3 commercialization was investigated (Pintos et al 2008). The encapsulation medium consisted
4 of Sommer et al (1975) macronutrients without calcium, plus MS micronutrients and
5 supplemented with 5 % (w/v) sodium alginate. Then the embryos were immersed in 50 mM
6 CaCl_2 for 20 min for complexing. The addition of 30 g/l sucrose to the capsule helped later
7 germination, reaching a 73% rate (Pintos et al 2008).

8 Also, a new method for the automatic monitoring of somatic embryo growth with a digital
9 system of image capture was tested (Pintos et al 2008). For this purpose, the projected area of
10 each embryo was measured with an image analyzer and regression models fitted between the
11 projected area and fresh weight. The best fit was obtained with the power regression
12 (Adjusted R-squared = 0.96, $p < 0.0001$). This methodology permits growth monitoring
13 without contamination risk and will be a helpful tool for the automated control of culture
14 growth for the up scaling of plant production (Pintos et al 2008).

15 After optimizing the production of cork oak somatic embryos and synthetic seeds in the
16 laboratory, they are ready for transfer to soil and the establishment of plantations. Somatic
17 embryos germinated into plantlets, producing more than 900 individuals which were
18 transferred to 100 ml nursery pots filled with peat: perlite: vermiculite (1:1:1). These plantlets
19 were acclimated in successive steps in the greenhouse and in the nursery. The high survival
20 rate obtained, 78% (Pintos et al 2010), proved that this methodology is applicable for large
21 scale plantlet production. In the second year of nursery, the plantlets were transferred to 2 l
22 pots with peat: vermiculite (3:1). Around 500 plantlets were established in a plot in their
23 natural area, as a progeny test at 3.5 m x 3.5 m spacing (Pintos et al 2009).

24 Phenotypic traits of parent trees and half-sib plantlets derived from these parent trees by
25 somatic embryogenesis were evaluated following standard histology techniques. The
26 percentage of virgin cork thickness of the main shoot of the somatic embryo-derived plantlets
27 showed a moderately strong association with the cork thickness in twigs of their parent trees.
28 This progeny test permitted the estimation of a high heritability of this trait from parent
29 phenotypes to their progenies (Pintos et al 2009). Therefore, cork oak plantlets were
30 successfully regenerated and planted in the field and showed a cork quality trait related to that
31 of their parent trees. These results open a new perspective for the large-scale propagation of

cork oak plants, ready for future extraction of high quality cork. The transfer of this technology will be interesting for this forest industry sector.

Somatic embryogenesis in *Quercus robur*

Pedunculate oak (*Q. robur*) is widespread in Europe, from the Atlantic coast to the Ural and Caucasus Mountains, and from the Mediterranean to Southern Scandinavia. This wide distribution leads to a great intraspecific variability. This tree can be several centuries old and reach 40 m height and 1 m diameter at breast height. The wood is durable, dense and of fine grain. This species is also appreciated as ornamental. The acorn is difficult to store and production is irregular. Traditional vegetative propagation is also very problematic. To solve these difficulties, somatic embryogenesis has also been assayed in this oak. First results in this species were obtained by Chalupa (1990), who reported successful plantlet regeneration from immature embryos cultured on MS or Woody Plant Medium (WPM; Lloyd and McCown 1981), supplemented with BA 4.4 μ M, alone or combined with 2.9 μ M gibberellic acid (GA₃) or indole-butyric acid (IBA) 0.5 to 5 μ M. Similar results were obtained by Hubner et al (1995) on medium supplemented with 1 μ M BA + 1 μ M 2,4-D.

Zygotic embryos of pedunculate oak (*Quercus robur* L.), extracted from acorns collected at different stages of development from June to November, were established at the INIA Tissue Culture Laboratory on WPM supplemented with Gln. The best explants for somatic embryogenesis induction were the immature embryos collected in June and July, while later stages were not responsive. Two weeks after culture onset, the first embryos were visible (Figure 3). Other types of explants, such as excised cotyledons from mature embryos, shoot internodes and leaf fragments also were cultured but only gave rise to callus or roots without further response (Manzanera et al 1996).

The highest percentages of direct somatic embryogenesis were obtained with the addition of BA 4.4 μ M alone or BA 0.4 μ M combined with 1-naphthaleneacetic acid (NAA) 0.05 μ M, while higher concentrations (BA 44.4 μ M with NAA 5.7 μ M) were ineffective. In zygotic embryos subjected to 2,4-D 4.5 μ M, a friable callus was observed. Then this callus was transferred to liquid medium. After two weeks, 66 % showed indirect somatic embryogenesis and a few weeks later the first somatic embryos were visible. Somatic embryos were

transferred to WPM supplemented with lower BA concentrations (0.9 to 2.7 μ M) in the light for further development (Manzanera et al 1996).

Somatic embryogenesis in *Quercus canariensis*

Quercus canariensis is an oak endemic of the Western Mediterranean, thriving in the Toledo Mountains, south west and north east of Spain, and also in southern Portugal, Tunisia, Algeria and Morocco. Very often, this oak appears sharing mixed stands with other oaks, such as *Q. suber*, *Q. liex*, *Q. pyrenaica* and *Q. faginea*. This oak species plays an important ecological role as the acorn nourishes many species of the ecosystem. The conservation of this interesting species has been first approached by somatic embryogenesis at the INIA Tissue Culture Laboratory. Somatic embryos were induced on immature zygotic embryos collected in July. The explants were cultured in Petri dishes in WPM macronutrients with MS micronutrients, 88 mM sucrose, 8 g/l agar and Gln. Globular structures appeared three weeks after induction onset. After two months, more than 30 % explants subjected to 4.5 μ M 2,4-D produced embryos (Figure 4). These somatic embryos grew and developed in basal medium supplemented with Gln. Six months later, the embryos were chilled for two weeks at 4 °C in darkness before they were transferred to germination medium. This has been the single attempt to date to regenerate this species by somatic embryogenesis or tissue culture in general (Bueno et al 1996). Also, the stability in ploidy level was assessed by flow cytometry in somatic embryos clonally propagated for more than one year in culture. All somatic embryos were diploid, with a DNA content of 2.2 ± 0.1 pg per nucleus, slightly greater than the 1.9 pg nuclear content measured in cork oak (Bueno et al 1996). So far, this has been the first and single published work on the regeneration of this species by somatic embryogenesis. More research is needed to improve and upscale this technology.

Pollen embryogenesis in cork oak

Direct embryogenesis from pollen is a process by which germ cells shift from their normal gametophytic development to a sporophytic development. Therefore, instead of mature pollen grains, haploid embryos are obtained. Microspore embryogenesis in cork oak is one of the first examples in woody plants. Specifically, the INIA Tissue Culture Laboratory was the first to initiate this work on cork oak. Anthers were subjected to different types of stress, such as

thermic stress or starvation. In cork oak, microspore embryogenesis was successfully achieved by culturing isolated anthers on basic agar-solidified medium composed of macro- and micronutrients at 33 °C for five days. It is hypothesized that microspores suffer sucrose and nutrient starvation inside the anther thanks to the barrier effect of the anther walls. The induction medium is also supplemented with activated charcoal, which absorbs inhibitory substances, e.g. polyphenols and their oxidation derivatives, the quinones. After the induction under thermic stress, cultures are maintained at 25 °C until embryos burst out of the anther (Figure 5). Once the anther wall is broken, the embryos feed on the culture medium and grow normally (Bueno et al 1997).

The process of embryogenesis induction only takes place when the microspores are in a narrow developmental stage. The optimal period for the induction of the sporophytic pathway in cork oak is the late uninucleated microspore, which is characterized by the presence of a big central vacuole and the nucleus in lateral position. Although the maturation of cork oak pollen is asynchronous, a close relationship has been established between the phenology of catkins and anthers and the developmental stage of microspores. This has facilitated the adequate application of the thermic stress to the anthers (Pintos et al 2005).

The verification of anther embryo origin is a problem associated to anther culture. In cork oak, the induction medium does not contain plant growth regulators which would favor the induction of somatic embryos from the anther tissues, but it is necessary to assess the ploidy level of anther embryos by flow cytometry or chromosome counting to warrant the haploid origin of those embryos (Bueno et al 1997). Furthermore, isozyme (Bueno et al 2000a) and microsatellite DNA molecular markers have been used to verify the haploid origin of anther embryos by analyzing their genotype for loci that show heterozygosity in the parent tree (Gomez et al 2001).

A great number of microspores shift to the sporophytic pathway and a great diversity of haplotypes is obtained, providing useful material for future studies. Embryogenic lines can be characterized and traced by means of different molecular markers, such as Random Amplified Polymorphic DNA (RAPD) and microsatellites (Bueno et al. 2000a; Gomez et al. 2001). These embryogenic lines are subcultured for recurrent embryogenesis, and the secondary embryos maintain the original ploidy level and anatomic traits, warranting the quality of this system of pollen embryo production (Bueno et al 2003).

Flow cytometry analysis of pollen embryo samples in cork oak has shown a low rate of diploid embryos (7.78%). The haploid origin and later spontaneous diploidization of those embryos has been assessed from their homozygous genotype, as revealed by molecular marker tests (Bueno et al 2000a, 2003; Gomez et al 2001). However, pure-bred, also called true breeding organisms are interesting for research and for practical breeding. Cork oak pure-bred has been induced by means of antimitotic agents that stimulate chromosomal doubling. Among the antimitotics tested, colchicine, amiprofos-methyl and oryzalin, the latter has been more efficient. Anther embryos treated with 0.01 mM oryzalin for 48 h showed a 50% chromosome doubling rate (Pintos et al 2007).

Haploid and doubled-haploid plants open a wide range of future applications for genetic improvement, hybridization to obtain heterosis, shortening of breeding cycles, genome sequencing, generation of completely homozygous lines, genetic transformation, somatic hybridization, etc.

Pollen embryogenesis in *Quercus ilex*

Holm oak (*Quercus ilex* L.) is the predominant tree species in many natural communities of the West Mediterranean. The dominant structure in the natural areas of this species is as wooded meadows, and its main economic importance is the agroforestry system. This structure is well known in Mediterranean countries and receives specific names, such as “dehesa” in Spanish, “montado” in Portuguese, etc. As Holm oak has a prolonged life and a late sexual maturation with irregular reproductive cycles, difficulties are found for seed conservation, vegetative reproduction, and for the establishment of seed orchards. All these problems hamper the production of plant material for forest restoration, reforestation and for breeding and genetic programs. The breeding process has been shortened through the induction of haploid embryos and doubled-haploids (Pintos et al 2013). No previous results on gametic embryogenesis of Holm oak have been described.

Catkins were collected during middle flowering at different phenologic stages, finding a relationship with the anther phenology. This relationship has permitted the characterization of the optimal phase for induction of embryogenesis from microspores. The highest rate of late vacuolated microspores and early bi-cellular pollen grains was found in 1 to 1.5 cm-long, green-yellow anthers. Late microspores show a polar nucleus and a great central vacuole. At

1 this stage, anthers were subjected to a cold stress pre-treatment of 4 °C for 3 days, followed by
2 a heat shock treatment at 33 °C for 3 or 4 days. As a result, embryos were induced in the
3 anther locule. A few days later, the embryos emerged from the interior of the embryogenic
4 anthers, breaking through the walls (Figure 6). Initial translucent globular embryos developed
5 later into heart-shaped and torpedo-shaped embryos, until formation of well-developed
6 cotyledons could be observed. Later on, the quantitative and qualitative DNA analysis
7 performed through flow cytometry and DNA-microsatellite markers showed haploid profiles
8 and/or spontaneous doubling of the chromosomes during early regeneration stages (Pintos et
9 al 2013).

11 **Proteomic study of the process of development in *Quercus suber***

12 Proteomics has permitted the determination of gene expression changes during the
13 development of somatic embryos of cork oak, from early mass proliferation stages to the
14 mature stage, when it is ready for germination (Gomez et al 2013). Relevant changes are
15 observed in proteins involved in the detoxification of Reactive Oxygen Species (ROS) and
16 ROS-related stress (38.6% differential proteins), cell division (31.8%), accumulation of
17 storage substances (mainly starch and reserve proteins, 15.8%), glycolysis (15.8%) and in a
18 lesser extent, ethylene and polyamine synthesis (8%).

19 In the proliferation stage, fermentation is the main energy source for the cells. Among the
20 proteins involved in this process, some of them are specifically induced during somatic
21 embryogenesis, for instance serine hydroxyl-methyl-transferase, glyceraldehyde 3-phosphate
22 dehydrogenase (GAPDH) and triose-phosphate isomerase. This phase is characterized by a
23 high cell division rate, which demands a great amount of energy. Thus, many proteins
24 involved in this process are identified, e.g., RNA-binding protein, Phosphate binding-protein,
25 14-3-3 proteins and alpha-tubulin proteins. During embryo development, an increment in
26 ROS detoxification-related proteins has been observed, i.e. in superoxide dismutase (SOD),
27 catalase and ascorbate peroxidase. This implies a certain level of oxidative stress during
28 proliferation, which is controlled to permit further development to the formation of
29 cotyledons. In this stage, proteins involved in carbohydrate accumulation (starch
30 phosphorylase and granule bound starch) and reserves (legumin) are observed. Embryo
31 maturation seems to be regulated by ethylene and polyamine synthesis, in association with

1 increasing levels of methionine-related proteins (methionine synthetase and 5-
2 methyltetrahydropteroyltriglutamate--homocysteine methyltransferase).

3 The comparative proteomic analysis of somatic and doubled-haploid embryos has shown
4 significant differences between both types (Gomez et al 2010). Heterozygous somatic
5 embryos and homozygous doubled-haploid embryos differed in the stress regulation, mainly
6 in the quantity of SOD and peroxidase. Other differences have been observed in the actin
7 content, involved in pollen development, which is more abundant in embryos induced from
8 late microspores than in somatic embryos. The relative content of proteins involved in tannin
9 and phenylpropanoid metabolism is also greater in pollen embryos. These components
10 represent two of the major synthetic pathways of cork chemistry, and they could be related to
11 the homozygosis of pollen embryos. The early detection of elevated contents of these proteins
12 could be used as marker tests of cork quality.

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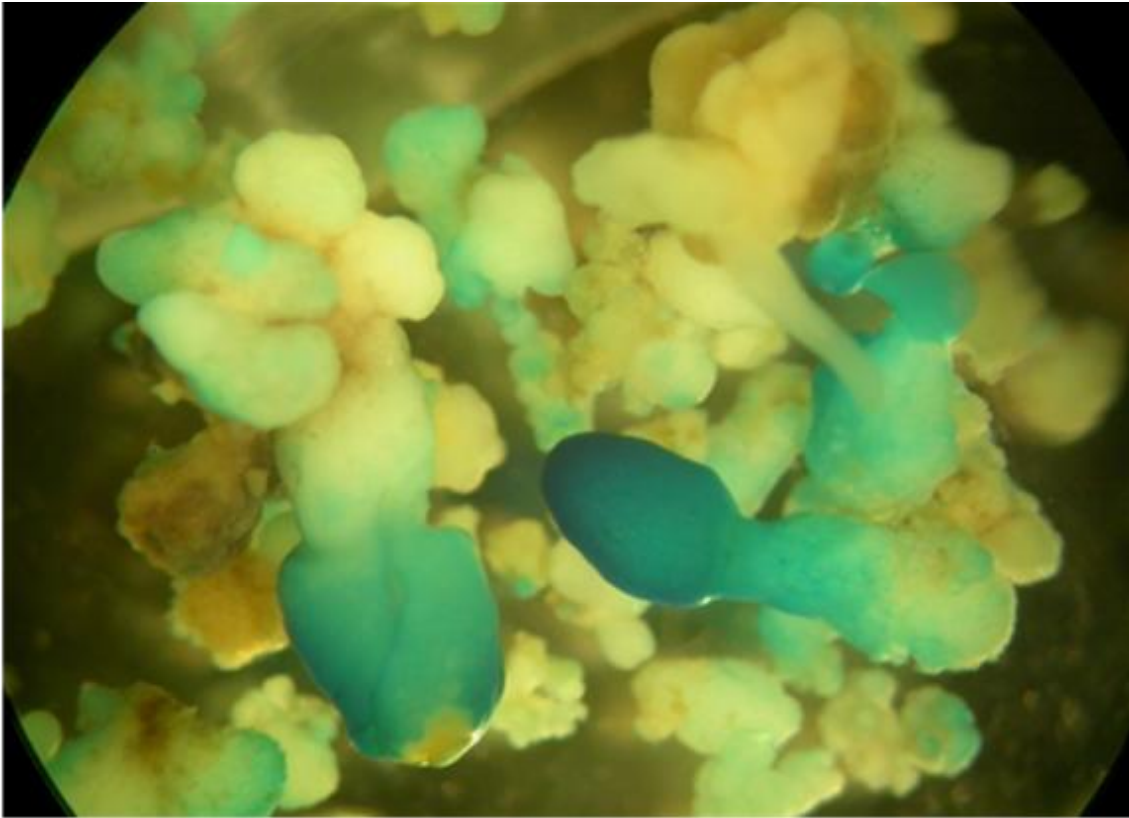
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22 (*Pinus palustris* Mill.) tissue cultured in vitro. Bot Gaz 136, 196-200.
- 23



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2 Figure 1. Cork-oak somatic embryo originated from zygotic embryo.

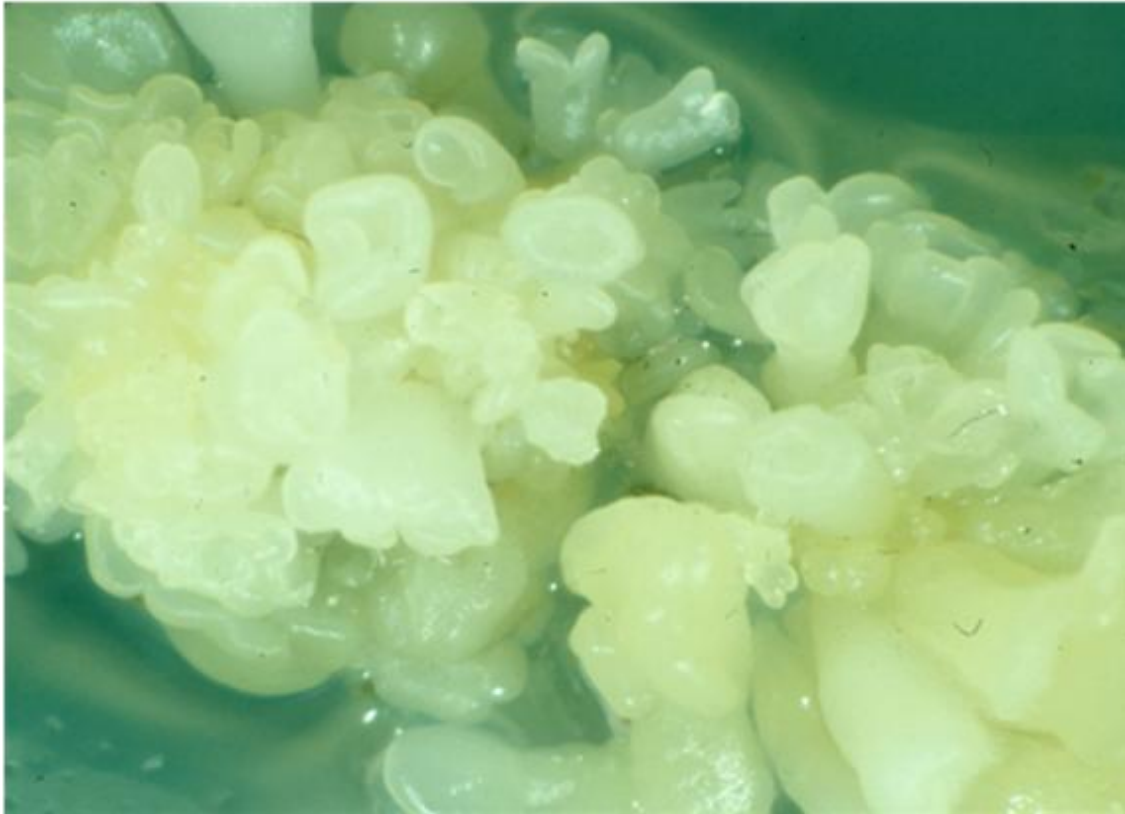
3



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2 Figure 2. Cork-oak somatic embryo showing GUS positive reaction.

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2 Figure 3. *Quercus robur* somatic embryo originated from zygotic embryo

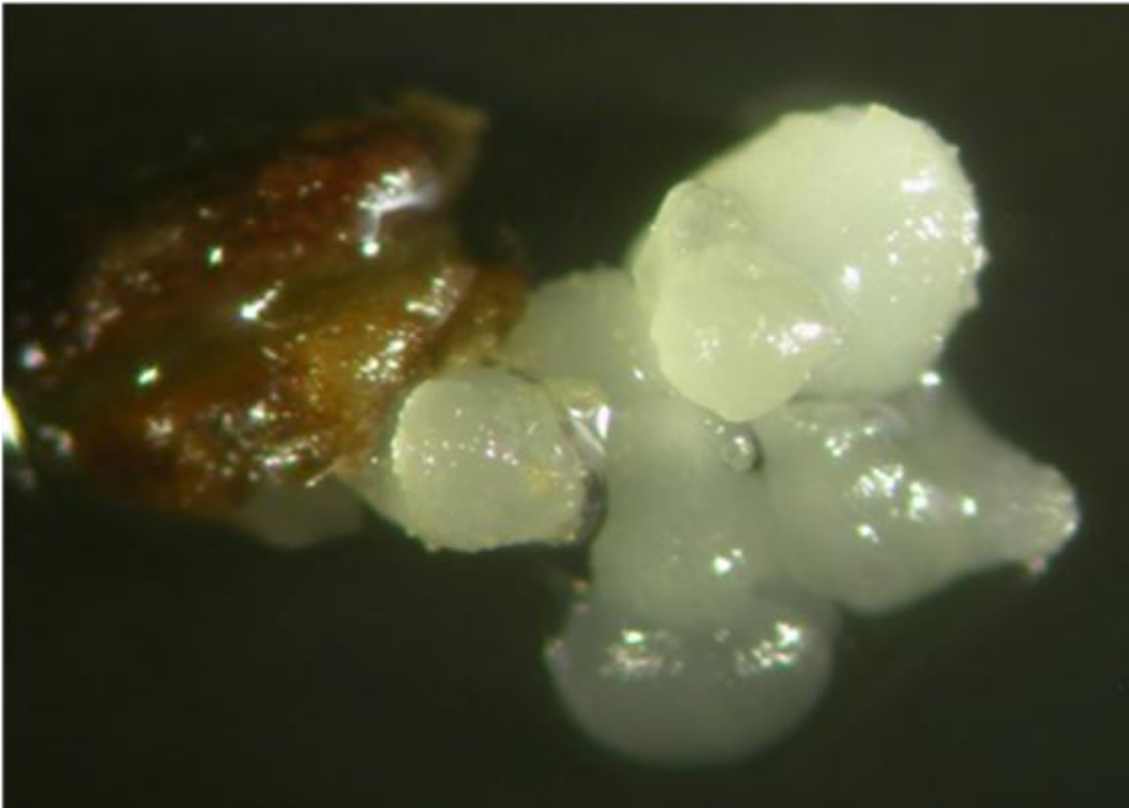
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2 Figure 4. *Quercus canariensis* somatic embryo originated from immature somatic embryo.

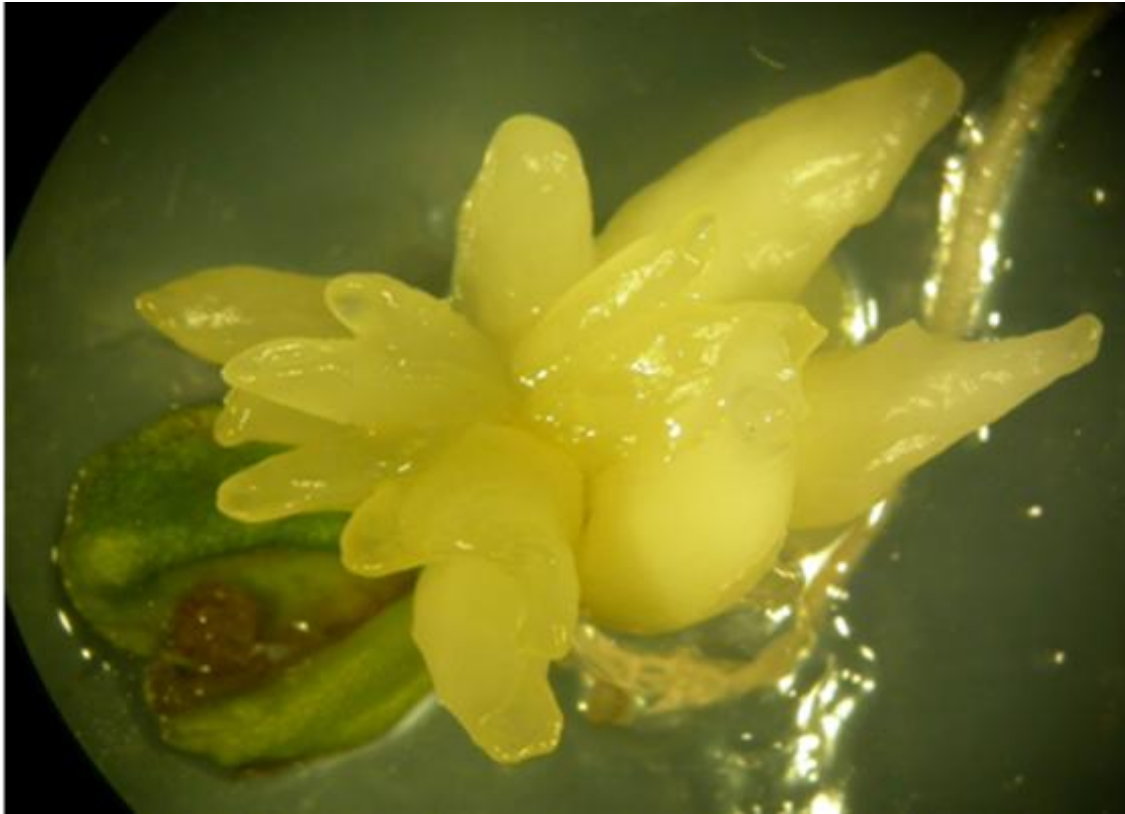
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2 Figure 5. Small globular embryos of cork-oak emerging from inside the anther.

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2 Figure 6. Small globular embryos of *Quercus ilex* emerging from inside the anther.